



efa was created in 1996 as an independent asset administration firm supporting European asset managers for all types of investment vehicles and investors.

efa has an extensive experience servicing Nordic asset managers and providing them with a full range of fund accounting, transfer agency, administration and middle-office services, including reporting tailored to their Luxembourg or locally domiciled investment vehicle.

efa is a member of Universal Investment Group.

The Fund industry in Nordic countries is well-known for its focus on sustainable matters, performance and governance standards.

Nordic asset managers are increasingly exporting their excellence and innovation and facing the challenges and complexity of international distribution. That's where efa steps in as a committed long term partner: our mission is to enable our clients to focus on what matters to their business by taking care of their administration and supporting their global distribution.

Our teams of experts are here to help Nordic asset managers, to support their day-to-day operations and to find strong and innovative solutions to facilitate smoother activities, sustain their governance or contribute to the successful distribution of their funds.

efa offers a wide range of services and solutions to support the specificities and operational complexities of funds, different types of investors and to provide front, middle and back office services.

## WHY CHOOSE EFA AS A PARTNER ?

### From Multiple share classes to Multi manager strategies

efa efficiently handles multiple share-classes, multiple currencies, as well as all types of hedged share-classes. In a multi-manager setting efa administers their various flows in the fund and facilitates asset pooling in Luxembourg allowing for more efficient management

### Robust valuation processes

In turbulent market times, efa has proven to be robust when it comes to valuing or challenging the valuation of all types of securities. This is an integral part of our NAV production and Fund Accounting services.

### Front and Middle Office Services

efa provides its clients with front office platform solutions that might be used independently or combined with EFA's middle office services that might be outsourced to EFA. The centralised trade management platform with an integrated DTCC-CTM solution accesses a large community of brokers. efa overlays this with trade management, performing matching, sending of settlement instructions via SWIFT and subsequent monitoring of trade settlements. The resulting data flow is used to build the investment book of records (IBOR).

### ESG services

efa supports its clients' climate commitment by providing ESG services that enable an independent sustainability assessment of fund portfolios and continuous monitoring of their ESG risk profile. efa also provides a regulatory reporting solution (SFDR and EU taxonomy).

### Private Asset Services

Whether it concerns private equity, real estate, debt or any other type of private assets, you can rely upon our Private Asset Services team to support your business in venture capital, leveraged buyout, infrastructure debt, SME lending, mezzanine debt, fund of funds or any other strategy.

### Strong Reporting to supports governance and distribution

efa gives its clients the tools to comprehensively manage and monitor their business. From KPI's on the different activities provided by efa to risk reports, stress tests, ESG, investor or tax reports..., we enable our clients to have a good oversight of their business and a solid basis for decision and action.

efa supports distribution and growth with:

- Investor services for all type of clients,
- data and documents to reach and report to investors in full compliance with their local and EU rules (KID, EPT, EMT, EET, various regulatory reporting).
- a connectivity with around 100 custody banks and over 1,100 distributors and platforms.
- a support to complex distribution chains and calculations of trailer fees.

### efa is a member of Universal Investment Group

efa's service might be complemented by the services of UI as Management Company or AIFM. Universal-Investment Luxembourg is the largest Luxembourg third-party Super ManCo.



## SERVICES OFFER

### TRANSFER AGENT & DISTRIBUTION SUPPORT SERVICES

- Registrar
- AML/KYC
- Transaction processing
- Commission calculation
- Trailer Fees
- Investor services & reporting
- Retail capabilities
- Capital calls
- Distribution
- Regular savings plans

### DOMICILIATION & CORPORATE SERVICE

### ACCOUNTING & CONSOLIDATION

- Accounting
- Coordination with depository/custodian
- Assistance to audit
- Cash reconciliation
- Consolidation

### FINANCIAL & REGULATORY SOLUTIONS

- Regulatory Reporting (LU & SE)
- Lux GAAP, IFRS
- PRIIPs KID, UCITS KIID, EPT, EMT
- Investment Compliance Services
- Risk reporting & monitoring Services
- ESG services, EET

### VALUATION & ACCOUNTING SERVICES

- Net Asset Value calculation
- Financial reporting
- Pooling solutions
- Master Feeder

### FRONT, MIDDLE & BACK OFFICE SERVICES

- Portfolio Management System
- Order Management System
- Trade Management
- Complex security pricing
- Collateral Valuation & Monitoring
- Cash & non-cash Reconciliation
- Securities database maintenance
- Performance analysis
- Portfolio valuation

## A SUSTAINABLE AND RELIABLE PARTNER

efa is an ECOVADIS Gold label company. This label guarantees that our part of the fund value chain is sustainable. efa focuses on high standards of governance and provides detailed KPIs to its clients.

## A PARTNER CLOSE TO ITS CLIENTS

Understanding our customers, their needs and their environment is key: our locations in Stockholm, Luxembourg and Paris make this possible.

To guarantee the best quality and flexibility, most of efa's IT and operational units are based in Luxembourg.



## CONTACTS

### EFA SWEDEN FILIAL

United Spaces Waterfront  
Klarabergsviadukten 63  
111 64 Stockholm  
Sweden

[Contact@efa.eu](mailto:Contact@efa.eu)



Paulo FERNANDES  
Chief Commercial Officer  
[Paulo.Fernandes@efa.eu](mailto:Paulo.Fernandes@efa.eu)



Pia KUMLIN  
Country Head Sweden  
[Pia.Kumlin@efa.eu](mailto:Pia.Kumlin@efa.eu)



Bart SPEYBROUCK  
Head of Business Development  
[Bart.Speybrouck@efa.eu](mailto:Bart.Speybrouck@efa.eu)