EFA

More than a fund company

MIDDLE & BACK OFFICE SERVICES

Since its inception in 1996, EFA's range of services has expanded far beyond fund administration.

The company has grown to become a recognised specialised asset servicer and a market reference for tailor-made services.

We promote innovation and continuously develop new products and services by closely tracking market and regulatory trends. We do this in open architecture for investment funds and other investment vehicles and through the provision of specialised institutional services.

In recent years, the administrative workload of Asset Managers has dramatically increased due to the constant evolution of regulations. Furthermore, cost management is critical for Asset Managers in an ever-increasing competitive environment.

Being a financial service provider with a strong track record, EFA helps Asset Managers to become more competitive, by assisting them in their day-to-day Middle and Back Office activities, thus enabling them to focus all their capabilities on bringing added value to their clients.

EFA offers a range of Middle and Back Office services that can be tailored to the size and needs of Asset Managers and Institutional Investors.

Trade management | Securities static data and pricing | Collateral valuation & monitoring | Cash & non-cash reconciliation | Risk measurements | Performance analysis | Portfolio valuation & accounting | Portfolio book of records | ...

WHY CHOOSE EFA AS A PARTNER ?

Middle and Back Office activities

EFA enables you to partly or entirely outsource your middle and back office activities by letting our experienced teams take care of:

- Post execution trade processing
- Valuation of portfolio assets and accounting bookings;
- Reporting for your institutional clients;
- Data feed for your front-office system to build and maintain a precise Portfolio book of records;
- Measurements of portfolio's risk, performance and control of investment restrictions.

Portfolio Valuation, accounting & reporting

EFA performs full accounting services for your mandates and discretionary portfolios deposited with the custodian bank of your choice.

- Accounting bookings & position keeping (up to a daily basis)
- Independent valuation of portfolio assets
- Reconciliation of positions with the custodian bank
- Detailed costs and charges (incl. transactional costs) reporting
- Securities data enrichment for investment controls and regulatory reporting
- Determination of available/projected cash and tradable quantities

Hence, you can access key data made available in a normalised format for the entire range of investment products that you manage allowing you to easily update your Portfolio book of records and efficiently communicate with your institutional investors.

A comprehensive and CSDR Compliant Trade management solution

EFA's Trade management solution allows you to setup post-execution trade processes that by design prevents late settlement and trade settlement failure:

- Centralised trade matching facility (DTCC-CTM) for pre-matching of transactions (block trades & allocation trades);
- Automated exchange of SSIs (DTCC-Alert+)
- Exclusive use of SWIFT messaging for sending settlement instructions to the custodian banks
- Centralised trade settlement monitoring platform
- Near real time settlement dashboard to provide you with key information concerning the settlement cycle and CSDR penalties.

Turn-key add-on services

Our customers have a privileged access to our dedicated team of experts for professional services in the field of ESG analysis and reporting, investment compliance, risk and performance analysis. We offer solutions, seamlessly integrated with our core services, without requiring you to take care of data integration:

- A platform to monitor your ESG risk profile, perform independent ESG assessment and produce regulatory reporting in relation with SFDR and EU taxonomy.
- A powerful and flexible solution to control investment restrictions and international sanctions from a legal or a contractual perspective, for peace of mind concerning asset compliance with regulations and terms of the mandate.
- A complete suite of services is also available to measure key regulatory risks to allow a close monitoring of the portfolio's risks.
- Performance analysis services which provides performance attribution analysis (equity and fixed-income) to clearly break portfolio's performance down.

MIDDLE & BACK OFFICE SERVICES

EFA
More than a fund company

SERVICES OFFER

MIDDLE OFFICE & BACK OFFICE SERVICES

- Trade management
- Portfolio valuation
- Complex security pricing
- Collateral valuation & monitoring
- Cash & non-cash reconciliation
- Securities database maintenance
- Performance analysis

REGULATORY SOLUTIONS

- Portfolio & fund compliance
- Risk measurement services
- ESG services

Fund Administration Services

Transfer Agent Services

Middle Office Services

Private Asset Services

EXAMPLE OF A SOLUTION FOR AN ASSET MANAGER

An Asset Manager selected EFA to take care of its entire post-trading activities for its funds and its discretionary mandates held in different banking institutions.

For this client, EFA performs the pre-matching of orders and their allocations to the various portfolios. Services also cover the sending of settlement instructions to multiple custodian banks and the prompt resolution of unmatched/failed trades.

Looping back with the investment manager systems, EFA determines on each new business day the tradable quantities, available cash and forecast cash.

EFA develops more and more tailor-made services designed for Asset Managers wishing to outsource middle and back-office activities.

STATE-OF-THE-ART TECHNOLOGY

DTCC
Securing Today. Shaping Tomorrow.®

NeoXam
NX Compliance
NX Tracker3
NX Impress Digital Edition

Confluence

CONTACTS

HEADQUARTERS
European Fund Administration S.A.
2, rue d'Alsace
P.O. Box 1725
L-1017 Luxembourg
Contact@efa.eu

BART SPEYBROUCK
Head of Business Development
Luxembourg
Bart.Speybrouck@efa.eu

JAN VANDENDRIESSCHE
Head of Business Development
Nordics
Jan.Vandendriessche@efa.eu