



# FUND SERVICES FOR NORDIC ASSET MANAGERS

EFA (European Fund Administration) was created in 1996 with a view to create an independent institutional asset administration firm supporting asset managers

Since over 10 years EFA supports Nordic Asset Managers and provides a full range of fund accounting, transfer agent, administration and middle-office services, including reporting adapted to their Luxembourg or locally domiciled investment vehicles.

The Fund industry in Nordics is well-known for its focus on sustainable matters, performance and governance.

Nordic asset managers are enterprising when it comes to launching new products, adopting SFDR and distribution nationally, intra Nordic and wider throughout Europe,

That is where EFA steps in. As a committed long term partner it is our mission to enable our clients to focus on what matters in their business. We do this by taking care of their administration and supporting governance with reporting.

Our experts are ready to support our Nordic Asset managers, supporting day-to-day operations and finding the solutions necessary to facilitate smoother operations, supporting governance or increasing distribution .

EFA offers a wide tool box of services, and solutions supporting multiple share classes, multiple currencies, swing pricing, regulatory reporting or middle-office tasks. Fund accounting, Transfer Agency and middle office services are available individually or combined.

## WHY CHOOSE EFA AS A PARTNER ?

### ❖ From Multiple share classes to Multi manager strategies

EFA efficiently handles multiple share-classes, multiple currencies, as well as all types of hedged share-classes. In a multi-manager setting EFA administers their various flows in the fund and facilitates asset pooling in Luxembourg allowing for more efficient management.

### ❖ Robust valuation processes

In turbulent market times, EFA has proven robust when it comes to valuing tradeable securities. This is an integral part of our NAV production and Fund Accounting service.

### ❖ Middle Office Services

EFA enables its clients to outsource their middle office activities. The centralised trade management platform with an integrated DTCC-CTM solution accesses a large community of brokers. EFA overlays this with trade management, performing matching, sending of settlement instructions via SWIFT and subsequently monitors trade settlement. The resulting data feed enables building the Investment book of records.

### ❖ ESG services

EFA supports the climate related engagement of its clients by providing ESG services enabling an independent assessment of fund portfolios in terms of sustainability, and ongoing monitoring of the ESG risk profile of their funds as well as delivering a regulatory reporting solution in relation to SFDR and the EU Taxonomy.

### ❖ Private Asset Services

Whether it concerns private equity, real estate, debt or any other type of private assets, you can rely upon our Private Asset Services team to support your business in venture capital, leveraged buyout, infrastructure debt, SME lending, mezzanine debt, fund of funds or in other strategies.

### ❖ Strong Reporting supports governance and distribution

EFA's ability to report hands you the tools to soundly manage your business. Ranging from administration performance reports, to providing reports on FATCA/CRS, Risk and ESG (SFDR), LST, we comprehensively deliver the Management Company the support needed.

EFA supports your distribution.

- EFA's data and documents supports asset managers to reach investors in full compliance with their local and EU rules (KID, EPT, EMT, various regulatory reporting).
- EFA, as an independent institutional asset administrator, has developed connectivity with over 1,100 distributors and platforms.
- EFA support complex distribution chains and calculations.

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## A SUSTAINABLE AND RELIABLE PARTNER

EFA is an ECOVADIS Gold label company. This label assures that their our part of the service value chain is sustainable. EFA focuses on high standard governance and provides detailed KPIs to its clients.

To guarantee the best quality and flexibility, EFA's IT and operational units are based in Luxembourg (with the exception of the French branch, which has its own substance in Paris).



## SERVICES OFFER

### TRANSFER AGENT & DISTRIBUTION SUPPORT SERVICES

- Registrar
- AML/KYC
- Transaction processing
- Commission calculation
- Trailer Fees
- Investor services & reporting
- Retail capabilities
- Capital calls
- Distribution
- Regular savings plans

### ACCOUNTING & CONSOLIDATION

- Accounting
- Coordination with depository/custodian
- Assistance to audit
- Cash reconciliation
- Consolidation

### DOMICILIATION & CORPORATE SERVICE

### VALUATION & ACCOUNTING SERVICES

- Net Asset Value calculation
- Financial reporting
- Pooling solutions
- Master Feeder

### FINANCIAL & REGULATORY SOLUTIONS

- Regulatory Reporting (LU & SE)
- Lux GAAP, IFRS
- UCITS KIID, PRIIPs KID, EPT, EMT
- Investment Compliance Services
- Risk reporting & monitoring Services
- ESG services

### MIDDLE OFFICE & BACK OFFICE SERVICES

- Trade Management
- Complex security pricing
- Collateral Valuation & Monitoring
- Cash & non-cash Reconciliation
- Securities database maintenance
- Performance analysis
- Portfolio valuation



Fund administration services



Transfer agent services



Middle office services



Private Asset services

152.1  
Billion €  
Assets under Administration

2,742  
Funds  
under Administration

Figures as at June 2021

## CONTACTS



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